

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE INVENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER <b>10/500208</b> PLAS-022	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/500,208	
INTERNATIONAL APPLICATION NO. PCT/FR02/04565		INTERNATIONAL FILING DATE December 26, 2002		PRIORITY DATE CLAIMED December 27, 2001 and October 23, 2002	
TITLE OF INVENTION HETEROXYLAN FILM-FORMING COMPOSITION FOR MAKING CAPSULES AND RESULTING CAPSULES					
APPLICANT(S) FOR DO/EO/US Serge BASQUIN, Fabien DARASSE, Denis DESPRE and Arnaud MESSENGER					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under (35 U.S.C. 154(d)(4)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>					

U.S. APPLICATION NO. (If known, see 37 CFR 1.51) <b>10/500,208</b>				INTERNATIONAL APPLICATION NO. <b>PCT/FR02/04565</b>		ATTORNEY'S DOCKET NUMBER <b>PLAS 022</b>	
21. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.44(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1080.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... <b>\$ 920.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <b>\$ 770.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). <b>\$ 100.00</b>  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>						<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total Claims	20 - 20 =		x \$ 18.00	\$			
Independent claims	3 - 3 =		x \$ 86.00	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 130.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
<b>SUBTOTAL =</b>				\$ 130.00			
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
<b>TOTAL NATIONAL FEE =</b>				\$ 130.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$ 40.00			
<b>TOTAL FEES ENCLOSED =</b>				\$ 170.00			
				<b>Amount to be refunded:</b>	\$		
				<b>charged:</b>	\$		

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130.00 OP

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)  
10/500,208

INTERNATIONAL APPLICATION NO.  
PCT/FR02/04565

ATTORNEY'S DOCKET NUMBER  
PLAS-022

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1258. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

James C. Lydon  
Attorney at Law  
100 Daingerfield Rd., Suite 100  
Alexandria, VA 22314

Customer No. 32954

  
SIGNATURE

James C. Lydon

NAME

30,082

REGISTRATION NUMBER

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Serge BASQUIN et al.

Serial No.: 10/500,208

Group Art Unit: Unassigned

Filed: September 29, 2004

Examiner: Unassigned

For: HETEROXYLAN FILM-FORMING COMPOSITION FOR  
MAKING CAPSULES AND RESULTING CAPSULES

**TRANSMITTAL OF ENGLISH TRANSLATION OF  
INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

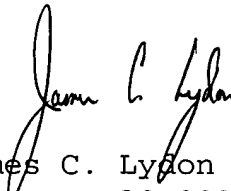
September 29, 2004

Sir:

Submitted herewith is an English Translation of the International Preliminary Examination Report for International Application PCT/FR02/04565.

It is not believed that any fee is required in connection with this Transmittal. Nevertheless, the Director is authorized to charge our Deposit Account No. 50-1258 in the amount of any such required fee.

Respectfully submitted,



James C. Lydon  
Reg. No. 30,082

Atty. Case No. PLAS-022  
100 Daingerfield Rd., Ste. 100  
Alexandria, Virginia 22314  
Telephone: (703) 838-0445

Attachment:

English Translation of International  
Preliminary Examination Report